

## KELLEE S. TSAI

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Northeastern University  
Boston, MA 02115

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September 2024

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### EDUCATION:

#### **COLUMBIA UNIVERSITY, New York, New York**

##### **Graduate School of Arts and Sciences**

Ph.D. in Political Science, May 1999; M.Phil., February 1996

Major: Comparative Politics; Minor: International Relations

##### **School of International and Public Affairs**

M.I.A. (Master of International Affairs), 5-year Joint-Degree Program, May 1990

Specializations: International Political Economy and East Asia

Primary Research: "The Political, Economic, and Legal Implications of Taiwan's

Application to GATT" (A+)

##### **Barnard College**

B.A., May 1989 Major: Political Science

*magna cum laude*; Phi Beta Kappa; Department of Political Science Honors

Phoebe Morrison Memorial Prize for Excellence in Political Science

Distinction in Senior Thesis: "The Implications of Political and Economic Liberalization in Taiwan for Mainland-Taiwan Relations" (A+)

**HARVARD UNIVERSITY**, Graduate School of Arts and Sciences, Cambridge, MA, 1997-99

**PRINCETON UNIVERSITY**, Beijing Normal University, Beijing, China, Summer 1994

**OXFORD UNIVERSITY**, St. Anne's College, Oxford, England, Spring 1988

### EMPLOYMENT:

2024 -

#### **NORTHEASTERN UNIVERSITY, Boston, MA**

##### **DISTINGUISHED PROFESSOR**

##### **DEAN, COLLEGE OF SOCIAL SCIENCES AND HUMANITIES**

- Serve as the chief academic and administrative officer of a college with two schools, seven departments, eight interdisciplinary programs, and eleven research centers and institutes in the social sciences and humanities. CSSH includes approximately 2,200 undergraduate and 750 graduate students, 275 faculty, and 120 non-academic staff.

2013 - 2024

#### **HONG KONG UNIVERSITY OF SCIENCE & TECHNOLOGY, Hong Kong**

**PROFESSOR EMERITUS** [2024 - present], **CHAIR PROFESSOR** [2015-24], **PROFESSOR** [2013-15]

##### **DEAN, SCHOOL OF HUMANITIES AND SOCIAL SCIENCE** [2018 – 24]

- Serve as the chief academic and administrative officer of a school with two multi-disciplinary divisions in the humanities and social sciences, and a Center for Language Education. SHSS includes approximately 500 undergraduate and 500 graduate students, 140 faculty, and 50 non-academic staff.
- Provide leadership in strategic planning, vision, resource management (with an operating budget of HK\$235 million) and developing new initiatives and international partnerships.
- Reduced school's budget deficit in the university budget model by optimizing curricular offerings, restructuring non-academic staff, and increasing MA student enrollments by over 75%.

- Facilitated establishment of the Global China Center and Center for Aging Science, and relocation of French Centre for Contemporary China to HKUST.
- Led two interdisciplinary projects (SmART Steps and SmART Sounds) for the university's Sustainable Smart Campus as a Living Lab initiative.
- From spring 2021 – fall 2022, joined the provost's office with the other deans in a "distributed provost" arrangement with responsibility for non-academic staff and international MOUs.

**HEAD, DIVISION OF SOCIAL SCIENCE [2013-18]**

- Serve as chief executive to an interdisciplinary social science division, with responsibility for strategic direction, faculty affairs (recruitment, promotion, retention, retirement), resource management, and curriculum organization.
- Facilitated introduction of undergraduate major in Quantitative Social Analysis
- Recruited founding director and faculty members to staff the university's new Division of Public Policy with joint appointments in social science.
- Emphasis on faculty research and strategic hiring resulted in 122% increase in Google Scholar citations to faculty publications between 2013 and 2018 (from to 22,553 to 50,114 citations).
- Teach graduate courses on China's political economy in comparative context.

**2000 - 2013**

**JOHNS HOPKINS UNIVERSITY, Baltimore, Maryland**

**PROFESSOR [2007-2016], ASSOCIATE PROFESSOR [2006-07], ASSISTANT PROFESSOR OF POLITICAL SCIENCE [2000-2006]**

- Teach undergraduate and graduate courses in comparative politics, with a focus on Chinese politics, political economy of development, and comparative democratization.
- Advise Political Science, International Studies, and East Asian Studies majors; advise senior theses; advise graduate students; and serve on dissertation and dissertation proposal defenses.

**DIRECTOR, EAST ASIAN STUDIES PROGRAM [2008-10]**

- Established an East Asian Studies (EAS) Speaker Series; research and conference travel grants for undergraduate and graduate students; an EAS Honors' Senior Thesis track; weekly language corners in Chinese, Japanese, and Korean; an annual EAS newsletter; and an EAS Student Advisory Committee in 2008-09.
- Facilitated the introduction of eight new courses in EAS in 2008-2009.
- Raised funds to introduce twelve new courses by visiting faculty in 2009-2011.
- Led application for Provost's Framework for the Future Discovery Grant on behalf of East Asian Studies. Granted \$50,000 in 2009 to conduct feasibility study on the establishment of a technical Chinese language program called, "Hopkins China-STEM" at the Hopkins-Nanjing Center.
- Granted \$390,000 from the Henry R. Luce Foundation for establishment of a China-STEM language and research program at in Nanjing, China.
- Worked with university development on proposal that resulted in a \$10 million gift to establish the and Rhea Yeung Center for Collaborative China Studies. Granted \$115,000 from the Yeung Center to establish an undergraduate study abroad program at Nanjing University in fall 2012.

**VICE DEAN, HUMANITIES AND SOCIAL SCIENCES [2010-13]**

- Worked closely with the dean of the Krieger School of Arts and Sciences and other vice deans in a school-wide strategic planning process that commenced in fall 2010.
- In close collaboration with the dean, serve as the Vice Dean of Faculty to fourteen departments in the humanities and social sciences. Duties included representing the dean in approving faculty searches; assisting in faculty recruitment; coordinating junior faculty mentoring; negotiating faculty retention; approving dossiers for tenure and promotion for consideration by the Academic Council; approving faculty research and medical leaves; coordinating rotation of departmental chairmanships; and discussing retirement options with faculty upon their request.

- Coordinated internal selection process for various competitions, including the ACLS New Faculty Fellows Program, Mellon Foundation New Directions Fellowship, NEH Summer Stipend Fellowship, School for Critical Theory, among others.
- Facilitated Islamic Studies Working Group to identify areas for potential cluster hiring.
- Facilitated Film & Media Studies Working Group to leverage intellectual synergies across various programs and departments.
- Drafted capital campaign documents for the Krieger School.
- Co-chaired search committee for the Senior Associate Dean for Finance & Administration.
- Raised funds to support two post-doctoral fellows, two graduate teaching fellowships, six visiting faculty, and research workshops for the East Asian Studies program.

**2000 - 2001 THE WORLD BANK, Washington D.C.**

**CONSULTANT, CONSULTATIVE GROUP TO ASSIST THE POOREST (CGAP)**

- Serve on on-site technical training team to ensure high quality delivery of CGAP Microfinance Training courses at the China Microfinance Center in Beijing.

**1999 - 2000 EMORY UNIVERSITY, Atlanta, Georgia**

**ASSISTANT PROFESSOR OF POLITICAL SCIENCE [tenure track]**

- Taught undergraduate courses in comparative politics and Chinese politics.

**1992 - 1997 WOMEN'S WORLD BANKING, New York, New York**

**CONSULTANT [1993-97]**

- Provided advisory services on a potential WWB strategy in China, including on-site inspection of various Grameen Bank replications in northern China.
- Analyzed survey administered to the International Coalition on Financial Sector and Private Enterprise Development, and members of the International Donors' Committee on Small Enterprise and the Working Group on Financial Sector Development.
- Negotiated arrangements with the Chinese government for WWB's delegation to the 1995 Fourth World Conference on Women and NGO Forum in Beijing/Huairou.

**DEVELOPMENT AND COMMUNICATIONS COORDINATOR [1992-93]**

- Interacted with governmental aid agencies, multilateral development banks, and international organizations to encourage their support of WWB in close collaboration with the President.
- Drafted and issued grant proposals and progress reports to funding partners.
- Participated in structuring new financial products and services.
- Coordinated WWB's first financial management program in Kenya.

**1990 - 1992 MORGAN STANLEY & CO., INC., New York, New York**

**FINANCIAL ANALYST**

**CAPITAL MARKETS / MERGERS & ACQUISITIONS, STRATEGY DEVELOPMENT**

- Developed, marketed, and executed financial instruments, including tax-efficient restructuring of foreign assets and cross-border tax arbitrage, with emphasis on preferred stock products.
- Executed over \$900 mil. in straight preferred stock and \$700 mil. in auction preferred stock.
- Analyzed multinational corporations for potential mergers, acquisitions, initial public offerings, spin-offs, and joint ventures.
- Conducted comprehensive review of a financial buyer's \$5.6 billion portfolio, which yielded over \$1 billion in refinancing and initial public offering business.

**FELLOWSHIPS & GRANTS:**

Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16601219 (2020-22)

Hong Kong, Research Grants Council, General Research Fund (GRF) grant, co-I, #16602319 (2020-23)  
 Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16600617 (2018-20)  
 Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16602617 (2018-19)  
 Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16602916 (2016-18)  
 Hong Kong, Research Grants Council, General Research Fund (GRF) grant, PI, #16401414 (2014-18)  
 Institute for Emerging Market Studies, HKUST grant (2014-17)  
 Henry Luce Foundation Grant (2011-16)  
 Benjamin and Rhea Yeung Center for Collaborative China Studies (2012-13)  
 Johns Hopkins Provost Discovery Grant (2009-2015)  
 National Science Foundation (NSF) International Research Fellowship, #0107326 (24 months, 2001-05)  
 Ford Foundation Public Policy Grant (with Wang Xiaoyi) (2000-02)  
 Institute for Comparative and International Studies, Emory Faculty Grant (1999-2000)  
 Harvard Academy for International and Area Studies Pre-Doctoral Fellowship (1997-99)  
 Fulbright-Hays Doctoral Dissertation Research Abroad Fellowship (1996-97)  
 Committee on Scholarly Communication with China Fellowship (CSCC/ACLS) (1996-97)  
 Columbia University Department of Political Science Graduate Fellowship (1994-99)  
 Ford Foundation Pre-Dissertation Research Grant (Summer 1994)  
 Mortimer B. Zuckerman Fellowship at Columbia University (1993-94)  
 Taiwan Area Studies Fellowship at Columbia University (1990)  
 Columbia University Deans' Fellowship (1989-90)  
 George Welwood Murray Graduate Fellowship for Excellence in Political Science (1989)

**OTHER**

**HONORS:**

Ph.D. Dissertation nominated for the 2000 APSA Best Dissertation Award in Political Economy  
 Winner, *Journal of International Affairs* Andrew Cordier Essay Competition (1995-96)

**PUBLICATIONS:**

**Books**

*Authoritarian Capitalism: Economic Pathways and Social Foundations*, co-authored with Ling Chen and Xiuyu Li (under contract, February 2024, Cambridge Element Series, Cambridge University Press).

*The State and Capitalism in China*, co-authored with Margaret Pearson and Meg Rithmire (New York: Cambridge Elements Series, Cambridge University Press, 2023).

*Evolutionary Governance in China: State-Society Relations under Authoritarianism*, co-edited with Szu-chien Hsu and Chun-chih Chang (Cambridge, MA: Harvard Asia Center, Harvard University Press, 2021).

*State Capitalism, Institutional Adaptation and the Chinese Miracle*, co-edited with Barry Naughton (New York: Cambridge University Press, 2015).

*CAPITALISM WITHOUT DEMOCRACY: The Private Sector in Contemporary China* (Ithaca: Cornell University Press, 2007). Chinese translation from Zhejiang People's Publishing House, Hangzhou, China, 2013.

*Japan and China in the World Political Economy*, co-edited with Saadia Pekkanen (New York: Routledge, 2005).

*Nongyehua yu minjian jinrong: Wenzhou de jingyan* [Rural industrialization and informal finance: Wenzhou's experience], co-authored with Wang Xiaoyi and Li Renqing (Taiyuan: Shanxi jingji chubanshe, 2004).

*BACK-ALLEY BANKING: Private Entrepreneurs in China* (Ithaca: Cornell University Press, 2002; paperback in 2004). Chinese translations published by the Center for Contemporary China Series, National Tsing Hua University, Taipei, Taiwan, 2007; and Zhejiang People's Publishing House, Hangzhou, China, 2013.

**Peer-reviewed  
Articles & Chapters**

“One System, Two Shadows: A Local Public Finance Perspective on China’s Shadow Banking System,” (co-authored with Shidai Zhang), *China Economic Review* 87 (May 2024).

“The Private Economy under Party-State Capitalism” (co-authored with Margaret Pearson and Meg Rithmire), in Dan Lynch and Stanley Rosen, eds., *Chinese Politics: The Xi Jinping Difference*, 2<sup>nd</sup> ed. (London: Routledge, 2024).

“Competition and Coordination: Regional Dynamics in the Rise of China’s New Energy Vehicle Industry” (co-authored with Jerry Patchell, Hanyu Wang, and Bon Cheung), *Sustainability* 15, 5 (February 2024).

“Multi-scale Policy Diffusion of Marine Emissions Governance” (co-authored with Yiqi Zhang, Christine Loh, and Gerald R. Patchell), *Marine Policy* 153 (July 2023).

“China’s Party-State Capitalism and International Backlash: From Interdependence to Insecurity” (co-authored with Margaret Pearson and Meg Rithmire), *International Security* 47, 2 (Fall 2022): 135-176.

“Securing Authoritarian Capitalism: The Political Economy of Surveillance in China” (co-authored with Jingyang Huang), *The China Journal* 88, 1 (July 2022): 2-28.

“How Does Party-State Capitalism in China Interact with Global Capitalism?” (co-authored with Margaret Pearson and Meg Rithmire), in Maria Adele Carrai, Jennifer Rudolph, and Michael Szonyi, eds., *The China Questions II: Critical Insights into the US-China Relationship* (Cambridge, MA: Harvard University Press, 2022), 250-257.

“Picking Places and People: Centralizing Provincial Governance in China” (co-authored with Warren Wenzhi Lu), *China Quarterly* 248, 1 (December 2021): 957-986.

“Upgrading Big Brother: Local Strategic Adaptation in China’s Security Industry” (co-authored with Jingyang Huang), *Studies in Comparative International Development* 56 (October 2021): 560-587.

“Party-State Capitalism in China,” (co-authored with Margaret Pearson and Meg Rithmire), *Current History* 120, 827 (September 2021): 207-213.

“Structural Power, Hegemony, and State Capitalism: Limits to China’s Relative Economic Power,” (co-authored with Mingtang Liu), *Politics & Society* 49, 2 (June 2021): 235-267.

“Reverse Entrepreneurial Migration in China and India: The Role of the State,” (co-authored with David Zweig and A. Didar Singh), *World Development* 138 (February 2021).

“Social Remittances of Keralans in Neoliberal Circulation,” in S. Irudaya Rajan, ed., *Indian Migration Report 2020* (New Delhi: Routledge, 2020), Ch. 12.

“Charitable Crowdfunding in China: An Emergent Channel for Setting Policy Agendas?” (co-authored with Qingyan Wang), *China Quarterly* 240 (December 2019): 936-966.

“Inter-governmental Vertical Competition in China’s Urbanization Process,” (co-authored with Warren Wenzhi Lu), *Journal of Contemporary China* 28, 115 (2019): 99-117.

“Rescaling State-Society Relations in China and India,” in Prasenjit Duara and Elizabeth Perry, eds., *Beyond Regimes: China and India Compared* (Cambridge, MA: Harvard University Press, 2018), Ch. 7, 257-293.

“When Shadow Banking Can be Productive: Financing Small and Medium Enterprises in China,” *Journal of Development Studies* 53, 12 (2017): 2005-2028.

“Institutional Adaptability in China: Local Developmental Models Under Changing Economic Conditions,” (co-authored with Xiaoxiao Shen), *World Development* 87 (November 2016): 107-127.

“The External is Incidental: Asia’s Sovereign Wealth Funds and the Shaping of the Santiago Principles,” (co-authored with Saadia M. Pekkanen), in Saadia M. Pekkanen, ed., *Asian Designs: Governance in the Contemporary World Order* (Ithaca, NY: Cornell University Press, 2016), 75-92.

“Cosmopolitan Capitalism: Local State-Society Relations in China and India,” *Journal of Asian Studies* 75, 2 (May 2016): 335-361.

“Adaptive Informal Institutions,” in Tulia Falleti, Orfeo Fiorettes, and Adam Sheingate, eds., *Oxford Handbook of Historical Institutionalism* (New York: Oxford University Press, 2016), 270-287.

“The Political Economy of State Capitalism and Shadow Banking in China,” *Issues & Studies* 51, 1 (March 2015): 55-97. Condensed version reprinted in *Proceedings of the Japan Association for Chinese Economy and Management Studies*, 中國經濟研究 12, 1 (March 2015): 12-19.

“China: Economic Liberalization, Adaptive Informal Institutions, and Party-State Resilience,” in Stephan Leibfried, Frank Nullmeier, Evelyne Huber, Matthew Lange, Jonah Levy & John Stephens, eds., *Oxford Handbook of Transformations of the State* (New York: Oxford University Press, 2015), 654-672.

“The China Dream: Tigers, Flies, and Other Challenges to Economic Reform,” in Chih-Shian Liou and Arthur S. Ding, eds., *China Dreams: China’s New Leadership and Future Impacts* (Singapore: World Scientific Publishing Co., 2015), 171-196.

“The Evolution of Foreign Direct Investment in Asia,” (co-authored with Saadia M. Pekkanen), in Saadia Pekkanen, John Ravenhill, and Rosemary Foot eds., *Oxford Handbook of International Relations* (New York: Oxford University Press, 2014), 327-347.

“China’s Political Economy and Political Science,” *Perspectives on Politics* 11, 3 (September 2013): 860-871. Chinese translation in *Journal of Foreign Theoretical Trends* (May 2014), published by the PRC Central Compilation and Translation Bureau.

“Cause or Consequence? Private Sector Development and Communist Resilience in China,” in Martin Dimitrov, ed., *Why Communism Didn’t Collapse: Understanding Regime Resilience in China, Vietnam, Laos, North Korea, and Cuba* (New York: Cambridge University Press, 2013), 205-234.

“The Politics of Ambiguity in Asia’s Sovereign Wealth Funds,” (co-authored with Saadia M. Pekkanen) *Business and Politics* 13, 2 (August 2011).

“The Local Politics of Restructuring State-Owned Enterprises in China,” (co-authored with Jin Zeng), in Jean Oi, ed., *Corporate Restructuring in China: The Need for Institutional Change* (Washington D.C.: The Brookings Institution Press, 2011), 39-69.

“Comparing China’s Capitalists: Neither Democratic nor Exceptional,” in Scott Kennedy, ed., *Beyond the Middle Kingdom: China in Comparative Perspective* (Stanford: Stanford University Press, 2011), 136-158.

“Friends, Family, or Foreigners? The Political Economy of Diasporic FDI and Remittances in China and India,” *China Report* 47, 2 (May 2010): 387-429.

“The Formal Origins and Consequences of Adaptive Informal Institutions: Insights from Private Sector Development in China,” in Richard F. Donor, ed., *Explaining Institutional Innovation: Case Studies from Latin America and East Asia* (New York: Social Science Research Council, 2010), 83-105.

“Informal Finance and Private Sector Development in China,” in Li Jianjun and Sara Hsu, eds., *Informal Finance in China: American and Chinese Perspectives* (Oxford: Oxford University Press, 2009), 80-103.

“Los orígenes formales y las consecuencias de las instituciones informales adaptativas: Visión sobre el desarrollo del sector privado en China,” in Richard F. Donor, ed., *Innovación y construcción institucional: Latinoamérica y el Este de Asia* (Barcelona: Icaria Editorial, October 2007).

“Adaptive Informal Institutions and Endogenous Institutional Change in China,” *World Politics* 59 (October 2006): 116-141.

“Imperfect Substitutes: The Local Political Economy of Informal Finance and Microfinance in Rural China and India,” in Richard Baum and Manoranjan Mohanty, eds., *Rural Governance and Social Change in China and India* (Dehli: Sage Publications, 2006). [Reprint of 2004 *World Development* article]

“Debating Decentralized Development: A Reconsideration of the Wenzhou and Kerala Models,” *Indian Journal of Economics and Business* (Special Issue: India and China 2006): 47-67. [Reprinted in Penelope B. Prime and Kishore G. Kulkarni, eds., *Economic Development in India and China: New Perspectives on Progress and Change* (New Dehli: Serials Publications, 2007), 293-319.]

“Capitalists without a Class: Political Diversity among Private Entrepreneurs in China,” *Comparative Political Studies* 39 (November 2005): 1130-1158.

“Late Liberalizers: Comparative Perspectives on Japan and China,” co-authored with Saadia Pekkanen, in Saadia Pekkanen and Kellee Tsai, eds., *Japan and China in the World Political Economy* (New York: Routledge, 2005).

“Developmental Dilemmas in China,” co-authored with Sarah Cook, in Saadia Pekkanen and Kellee Tsai, eds., *Japan and China in the World Political Economy* (New York: Routledge, 2005).

“The Persistence of Informal Finance,” in Edward Friedman and Bruce Gilley, eds., *Asia’s Giants: Comparing China and India* (New York: Palgrave, 2005).

“Imperfect Substitutes: The Local Political Economy of Informal Finance and Microfinance in Rural China and India,” *World Development* 32, 9 (September 2004): 1487-1507.

“Off Balance: The Unintended Consequences of Fiscal Federalism in China,” *Journal of Chinese Political Science* 9, 2 (Fall 2004): 7-26.

“Locating the Local State in China (Before it Turns into Another Cause in Search of its Effect),” *Politologiske Studier* 6, 2 (September 2003): 71-82.

“State Ideology and Women in Rural China,” in Rekha Datta and Judith Kornberg, eds., *Women in Developing Countries: Assessing Strategies for Empowerment* (Boulder, CO: Lynne Rienner, 2002).

“Factionalism in China from a New Institutional Perspective,” with Andrew Nathan in Jonathan Unger, ed., *The Nature of Chinese Politics, From Mao to Jiang* (Armonk, NY: M.E. Sharpe, 2002).

“Banquet Banking: Gender and Rotating Savings and Credit Associations in South China,” *The China Quarterly*, No. 161 (March 2000): 143-170.

“Curbed Markets? Financial Innovation and Policy Involution in China’s Coastal South,” *Weatherhead Center for International Affairs Working Paper Series*, No. 98-6, Harvard University, May 1998.

“Women and the State in Post-1949 Rural China,” *Journal of International Affairs*, vol. 49, no. 2 (Winter 1996), 493-524. Winner of the Andrew Wellington Cordier Essay Competition.

“Factionalism: A New Institutional Restatement,” *The China Journal*, vol. 34 (July 1995): , co-author with Andrew J. Nathan.

### **Commissioned**

“The New China Shock: How Beijing’s Party-State Capitalism is Changing the Global Economy,” co-authored with Margaret M. Pearson and Meg Rithmire, *Foreign Affairs*, December 7, 2022.

“Kellee S. Tsai, Hong Kong University of Science and Technology,” in Martin K .Whyte and Mary C. Brinton, eds. *Remembering Ezra Vogel* (Cambridge, MA: Harvard Asia Center, Harvard University Press, 2022).

“The Limits of State Capitalism on China’s Bid for Hegemony,” co-authored with Mingtang Liu, *Marxist sociology blog: Theory, research, politics*, October 14, 2020. <https://marxistsociology.org/author/mingtang-liu/>

“Fintech and Financial Inclusion in China,” *HKUST Institute for Emerging Market Studies Thought Leadership Brief 20* (November 2017).

“The Rise of Shadow Banking in China: The Political Economy of Modern Chinese State Capitalism,” *HKUST Institute for Emerging Market Studies Thought Leadership Brief 10* (August 2015).

“The State of China’s Economic Miracle,” *Asia Policy* 20 (July 2015): 143-68. [Lead article in book review roundtable on Nicholas Lardy, *Markets over Mao: The Rise of Private Business in China*]

“Microfinancing,” *Encyclopedia of Modern China* (Farmington Hills, MI: Charles Scribner’s Sons, 2009).

“Marketization without Democratization in China,” *eJournal USA* (June 2008).

“Communism with Capitalist Characteristics,” *Insights on Law and Society* (Spring 2008).

“China’s Complicit Capitalists,” *Far Eastern Economic Review* (January/February 2008).

“Teaching the Unknown,” *American Political Science Association Comparative Politics Newsletter* (Summer 2002).

“A Circle of Friends, A Web of Trouble: Rotating Credit Associations in China,” *Harvard China Review*, vol. 1, no. 1 (Summer 1998).



*Institution Building in Microfinance*, monograph commissioned by Women's World Banking and UNDP for the International Donors Committee on Small Enterprise and the Working Group on Financial Sector Development, New York, September 1996, co-author with Nancy Barry.

**Book Reviews**

Cheng Li, *Middle Class Shanghai* (Washington D.C.: Brookings Institution) for *Foreign Affairs* (January/February 2022).

Victor C. Shih, *Factions and Finance in China: Elite Conflict and Inflation* (New York: Cambridge University Press, 2008), for *Perspectives on Politics* 6, 4 (December 2008): 851-852.

Vivienne Shue and Christine Wong, eds., *Paying for Progress in China: Public Finance, Human Welfare and Changing Patterns of Inequality* (New York: Routledge Contemporary China Series, 2007) for *Journal of Asian Studies* (2007).

Christopher Findlay, Andrew Watson, Cheng Enjiang, and Zhu Gang, eds., *Rural Financial Markets in China* (Canberra: Asia Pacific Press of the Australia National University) for *Journal of Asian Business*, vol. 20, no. 2 (2005).

“Continuities in Autocratic Surveillance in Modern China?” Review of Frederic Wakeman, *Policing Shanghai, 1927-37* (Berkeley: University of California Press, 1995) for *Journal of International Affairs*, vol. 49, no. 2 (Winter 1996).

Judy Yung, *Unbound Feet: A Social History of Chinese Women in San Francisco* (Berkeley: University of California Press, 1995) for *China Information*, vol. 10, nos. 3/4 (Winter 1995/Spring 1996).

“Competing Security Agendas in Post-Cold War Europe,” *Journal of International Affairs*, vol. 47, no. 2, (Winter 1994).

**CONFERENCES & INVITED SPEAKING ENGAGEMENTS**

Over 200 invited talks and conference presentations since 1998. \*Keynote speaker; ^Podcast

2024

Association for Asian Studies  
Harvard-Yenching Institute (Hong Kong)  
University of Hong Kong  
University of Texas-Austin (Washington DC)  
World Economic Forum (Dubai)

2022 (Zoom)

Carter Center Young Scholars Forum  
Shanghai Jiaotong University\*  
University of Hong Kong  
University of Maryland – College Park  
University of Michigan

2023

Center for International & Strategic Studies^  
Freie Universität Berlin  
National Committee on US-China Relations^  
National Tsing Hua University (Taiwan)\*  
National University of Singapore  
New School for Social Research  
University of Toronto  
World Economic Forum (San Francisco, Geneva, Tianjin, & Dubai)

2021 (Zoom)

American Political Science Association  
European Institute for Chinese Studies  
Fairbank Center, Harvard University  
HKUST Institute for Emerging Market Studies

2020 (Zoom)

Centre for Artificial Intelligence Research  
Georgetown University  
National Committee on US-China Relations  
National University of Singapore  
Times Higher Education World Academic Summit

2019

American Political Science Association (DC)  
 Christ University (Bangalore)\*  
 French Centre for Contemporary China  
 National Taiwan University  
 NYU-Shanghai

2018

Center for Artificial Intelligence Research  
 Christ University (Bangalore)  
 Hong Kong Baptist University  
 HKUST International Women's Day Forum  
 HKUST Institute for Advanced Study  
 NYU-Shanghai  
 Stanford University  
 University of Duisburg-Essen  
 University of Hong Kong

2017

Central University of Finance and Economics\*  
 Centre for Development Studies (Kerala)  
 Centre for Economic Research (Mannheim)  
 Harvard Business School  
 University of Pennsylvania  
 Women's Faculty Association  
 Young China Watchers – Hong Kong

2016

Chinese University of Hong Kong  
 French Centre for Contemporary China  
 Hong Kong University  
 Seoul National University  
 University of Sydney\*  
 Zhejiang University\*  
 Zhejiang University of Finance & Economics\*

2015

Academia Sinica (Taipei)  
 Central University of Finance and Economics\*  
 Chinese Academy of Social Sciences\*  
 French Centre for Contemporary China  
 HKUST Institute of Emerging Market Studies  
 National Taiwan University  
 National Tsinghua University  
 Oxford University  
 Renmin University  
 University of Hong Kong

2014

American Political Science Association

Chinese University of Hong Kong  
 Fudan University\*  
 HKUST Business Insight Series  
 HKUST Institute of Emerging Market Studies  
 National Chengchi University  
 National University of Singapore  
 New School for Social Research  
 Peking University  
 University of Michigan – Ann Arbor  
 University of Tokyo  
 Zhongshan University

2013

Copenhagen Business School  
 Johns Hopkins University  
 Peking University  
 National Chengchi University  
 National Tsing Hua University

2012

School of Oriental and Asian Studies (SOAS)  
 London School of Economics\*  
 Hong Kong Univ. of Science & Technology  
 New School for Social Research  
 Federal Executive Institute  
 University of British Columbia  
 University of North Carolina-Chapel Hill

2011

Association for Asian Studies (Honolulu)  
 International Studies Association (New Orleans)  
 Johns Hopkins Center for Talented Youth  
 National Endowment for Democracy  
 Massachusetts Institute of Technology  
 Towson University

2010

Cornell University  
 Johns Hopkins Center for Talented Youth  
 Federal Executive Institute  
 University of Toronto  
 University of Washington - Seattle  
 University of Virginia  
 U.S. Department of State

2009

American Political Science Assoc (Toronto)  
 Brown University  
 Florida International University  
 India International Centre (New Delhi)  
 Society for the Study of Adv Socioecon (Paris)\*

University of California-Berkeley  
 U.S. Foreign Service Institute  
 World Affairs Council of Central Illinois\*

2008

American Historical Association (DC)  
 American Political Science Association (Boston)  
 Association for Asian Studies (Atlanta)  
 Bocconi University (Milan)  
 Columbia Business School  
 Johns Hopkins Univ. Board of Trustees  
 National Committee on US-China Relations  
 University of Wisconsin-Madison  
 University of Iowa  
 University of Chicago  
 American University  
 Carnegie Endowment for International Peace

2007

Columbia University  
 Dartmouth College  
 Emory University  
 Georgetown University  
 Harvard University

2006

Association for Asian Studies (San Francisco)  
 Applied Physics Laboratory  
 Columbia Law School  
 Princeton University  
 Stanford University  
 University of Havana (Cuba)  
 University of Indiana- Bloomington  
 University of Michigan  
 University of Pennsylvania\*  
 US-China Econ. & Security Review Commission

2005

American Political Science Association  
 Harvard University  
 Int'l Food Policy Research Institute (DC)  
 University of North Carolina-Chapel Hill

2004

American Political Science Association (DC)  
 Cornell University

Georgetown Law Center  
 University of Chicago

2003

American Political Science Assoc (Philadelphia)  
 Atlas Economic Research Foundation  
 Centre for Chinese Studies (Calcutta)  
 Columbia University  
 Duke University  
 Stanford University  
 University of Michigan – Ann Arbor  
 University of Kentucky

2002

Dartmouth College Tuck School of Business  
 Harvard University  
 Jiang Han University (Wuhan)  
 Senate Foreign Relations Committee  
 Voice of America

2001

Association for Asian Studies (Chicago)  
 Chinese University of Hong Kong  
 Johns Hopkins University  
 Harvard University Kennedy School of Gov't  
 World Bank  
 WJZTV (CBS Baltimore)

2000

American Political Science Assoc (DC)  
 Association for Asian Studies (San Diego)  
 Carter Center  
 Emory University  
 Johns Hopkins University  
 George Washington University

1999

Emory University  
 Harvard University  
 Yale University

1998

Emory University  
 Harvard University  
 New England Assoc for Asian Studies (Boston)  
 Tufts University

**MEDIA:**

Interviewed by *Associated Press, Atlanta Constitution-Journal, Baltimore Sun, Bloomberg News [Beijing], China Development Brief [Beijing], China Economic Review [Shanghai], The Economist, The Innovator, International Herald Tribune, New York Times, Newsweek, Time Magazine, USA Today, U.S.*

*World & News Report*, *Voice of America*, *Wall Street Journal*, *Washington Post*, and *WJZTV* (Baltimore NBC).

**RESEARCH AFFILIATIONS:**

Distinguished Visiting Professor, Department of Comparative Politics, University of Bergen, 2018-21  
 Research Professor, Department of Political Science, Johns Hopkins University, 2016-19  
 Honorable Research Fellow, Center for Research on Private Entrepreneurs, CASS, Beijing, 2015  
 Visiting Research Scholar, Chinese Academy for Social Sciences (CASS), Beijing, 2001-05  
 Academy Scholar, Harvard Academy for International and Area Studies, Cambridge, MA, 1997-99  
 Affiliate, Fairbank Center for East Asian Research, Harvard University, Cambridge, MA, 1997-99  
 Visiting Research Scholar, Fujian Academy of Social Sciences, Fujian, June 1994, 1996-97  
 Visiting Research Scholar, Chinese University of Hong Kong, Shatin, Hong Kong, 1996-97 (part-time)  
 Visiting Research Scholar, Henan Academy of Social Sciences, Henan, Summer 1996  
 Graduate Student Member, East Asian Institute, Columbia University, New York, 1989-90, 1993-99

**TEACHING INTERESTS:**

China in Comparative Perspective, Political Economy of China, Political Economy of Development, Theories of Comparative Politics, Domestic Politics of Contemporary China, Politics of International Development, Comparative Democratization, China and the Global Political Economy.

**PROFESSIONAL SERVICE:**

- Council Member, East and Inner Asia Council, Association of Asian Studies (2024 - )
- Council Member, World Economic Forum Global Future Council on Growth (2023 - )
- International Advisory Board Member, Asia Research Institute (ARI), National University of Singapore (2021 - )
- Academic Council Member, Taipei School of Economics and Political Science, National Tsing Hua University, Taipei (2020 - )
- Advisory Board Member, India-China Institute, New School for Social Research, New York (2020 - )
- Selection Committee Member, Ashoka University, Centre for China Studies, China Studies Postdoctoral Fellowship (2021 - )
- Council Member, Hong Kong Research Grants Council (2019 - )
- Association Member, Oxfam Hong Kong (2018 - )
- Committee member, Policy and Advocacy Committee, Oxfam Hong Kong (2017 - 2023)
- Executive Committee, Political Economy Section, American Political Science Association (2016 - )
- International Advisory Board member, Center for Contemporary China Studies, National Tsinghua University, Taipei (2014 - )
- Advisory board member, Cambridge University Press Element Series in Chinese Economy and Governance.
- Editorial board member, *Chinese Sociological Review* (2015 - ), *China Policy Journal* (2016 - ), *AsiaGlobal Online* (2017 - ), *China Perspectives* (2018 - ), *China Quarterly* (2020 - ), and *China Journal* (2023 - ). Cambridge University Press Element Series in Chinese Economy and Governance.

- Editorial board member, Contemporary Issues in Asia and the Pacific Series, Stanford University Press, 2006-10; *Pacific Affairs* (2008-13). Invited to join *Comparative Politics* and *Politics and Society* editorial boards in 2010.
- Anonymous referee for the following journals: *American Journal of Political Science*, *American Political Science Review*, *Asia Policy*, *Asian Survey*, *Business and Politics*, *Crime, Law, and Social Change*, *China Journal*, *China Perspectives*, *China Quarterly*, *Comparative Politics*, *Comparative Political Studies*, *International Organization*, *Journal of Chinese Political Science*, *Journal of Contemporary China*, *Perspectives on Politics*, *Political Science Quarterly*, *Political Studies*, *Politologiske Studier*, *Review of International Political Economy*, *Studies in Comparative and International Development*, *World Politics*, and *World Development*.
- Anonymous referee for the following presses: Cambridge University Press, Congressional Quarterly, Cornell University Press, Harvard University Press, Princeton University Press, Routledge, Longman Publishers, Lynne Reinner Publishers, MIT Press, and Stanford University Press.
- External review member: Harvard University's Asia related centers and institutes (2015), Florida International University's School of International and Public Affairs' Asian Studies Program (2010).
- Anonymous referee for appointment and/or promotion cases at Academia Sinica, American University, Boston University, Cambridge University, Chinese University of Hong Kong, Cornell University, Duke University, Harvard Business School, Hong Kong Institute of Education, Hong Kong Polytechnic University, Hong Kong University of Science & Technology, Johns Hopkins University, London School of Economics, Temple University, University of Michigan-Ann Arbor, Mount Holyoke College, New School for Social Research, Northwestern University, Peking University, University of Oklahoma, University of Pennsylvania, University of Sydney, University of Toronto, and University of Washington, St. Louis.
- External committee member for dissertation defenses at Australia National University, Chinese University of Hong Kong, Monash University, School of Advanced International Studies (SAIS, Johns Hopkins), University of Copenhagen, University of Delaware, University of Hong Kong, and University of Toronto.
- Proposal screener for the Social Science Research Council Int'l Research Fellowship Program, 2003, 2004.
- Proposal reviewer for the Mellon Foundation/American Council of Learned Society's New Faculty Fellows Program, 2010, 2011, 2012.
- Participant in World Bank/Consultative Group to Assist the Poorest (CGAP) Resource Persons Training Workshop, Washington D.C., June 2000.
- Member of Carter Center Delegation to observe village elections in Hebei, China, January 2000.

**PROFESSIONAL MEMBERSHIPS:**

- World Economic Forum, Global University Leadership Forum (2023 - )
- American Chamber of Commerce in Hong Kong (2022 - )
- Hong Kong General Chamber of Commerce (2013 - )
- National Committee on US-China Relations, Board Member (2008-13)
- National Committee on US-China Relations Public Intellectuals Program (2005-present)
- American Political Science Association (1994-present)
- Association for Asian Studies (1995-present)
- China Business Project (Fairbank Center, Harvard University, 1997-99)
- China International Business Relations Project (Columbia University, 1990-91, 1993-95)

**OTHER:**

Former classical pianist (performed at Carnegie Recital Hall three times between 1982 and 1984). Native speaker of Taiwanese and English. Advanced level of Mandarin Chinese. Intermediate knowledge of French, German, and Latin. Field experience in Asia, Europe, Middle East, and Africa.

*References available upon request.*